

Information Sheet according to Art. 13 & 14 GDPR Whistleblowing Reporting Office

A. Name of the Responsible Entity	The reporting office is UIMCert GmbH.
B. Contact Details of the Responsible Entity	Otto-Hausmann-Ring 113, 42115 Wuppertal
C. Contact Details of the Data Protection Officer	datenschutz@uimcert.de / +49 202 946 7726 100
D. Categories of Personal Data	Data of the whistleblower, the reported individuals, and other third parties, as well as a descriptive content of the reported violation and measures taken.
E. Purpose of Data Processing	Uncovering violations against legal requirements and, if applicable, compliance regulations, also to avert damage from the company.
F. Legal Basis for Data Processing	Processing of data by the reporting office according to Article 6(1)(c) GDPR in conjunction with § 10 HinSchG. Disclosure of identity outside legal necessity only with consent according to Art. 6(1)(a) GDPR.
G. In Case of Balancing Interests: Legitimate Interests of the Responsible Entity	Uncovering violations against legal requirements and, if applicable, compliance regulations, also to avert damage from the company.
H. Recipient/Categories of Recipients	Company affected by the report, possibly law enforcement authorities, administrative authorities, courts.
I. Possible Transfer to Third Countries	./.
J. Adequate Level of Data Protection in Third Country Transfer	./.
K. Duration of Storage	3 years from the emergence of the claim or knowledge of the violation, if the report falls within the scope of the whistleblower reporting procedure (otherwise usually 2 months after completion of the procedure).
L. Necessity of Providing Data (if collected from the data subject)	<input checked="" type="checkbox"/> via consent <input type="checkbox"/> legally required <input type="checkbox"/> contractually required <input type="checkbox"/> necessary for a contract conclusion <input type="checkbox"/> Obligation to provide the data Possible consequences of non-provision: [please fill in]
M. Source of Data (if not collected from the data subject)	Whistleblower, data collected during further research.
N. Automated Decision Making	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes

We hereby inform you that according to Article 15 ff. GDPR, you have the right under the defined conditions to access your personal data, to rectify or delete them, or to restrict their processing, to object to the processing, and to data portability. You also have the right under Article 77 GDPR to lodge a complaint with a data protection supervisory authority if you believe that the processing of your personal data violates this regulation. If the processing is based on your consent (see Art. 6(1)(a), Art. 9(2)(a) GDPR), you also have the right to revoke your consent at any time without affecting the legality of the processing carried out on the basis of the consent until the revocation.